



South Carolina
Bankers Association

2023 BANK SECURITY RISK MANAGEMENT WORKSHOP

NEW
AGENDA
TO INCLUDE
CHECK
FRAUD



Wednesday, November 8, 2023

Registration: 9:00 a.m.
Conference: 9:30 a.m. – 4:00 p.m.

**Courtyard by Marriott
Columbia Downtown at USC**

630 Assembly Street • Columbia, SC 29201

TOPICS:

Check Fraud: A New Spin on an Old Scheme
Industry Standard Practices
Real World Examples of Bank Security Mistakes
ABA Check Fraud Claim Directory
and MORE!

Each Attendee Will Receive a Certificate of Security Management Training.

SPONSORED BY  **CBSI**

CPE Credit Available • Please register before November 1, 2023

2023 BANK SECURITY RISK MANAGEMENT WORKSHOP

Wednesday, November 8, 2023 • 9:00 a.m. – 4:00 p.m.

Our comprehensive program is designed to cater to security officers, risk managers, or auditors. This course will serve as an excellent refresher on the requirements of the security position. Join us as we delve into crucial topics such as check fraud, internal fraud, and industry standard practices. Throughout the program, we will emphasize the implementation of regulations outlined in the Bank Protection Act by illustrating real-world examples of mistakes made by banks. Together, we will gain valuable insights and equip ourselves with the knowledge necessary to excel in our roles and protect the integrity of our financial institutions.

TOPICS INCLUDE:

- Check fraud: A new spin on an old scheme
- How to report foreseeable events that could bring criminal liability against the board
- Understand Lighting, Landscaping, Locations and Locks the 4L's of Security
- Know about the proper steps to take with Cash Recyclers, Pod Branches and ITM's
- Know the warning signs of a potential internal fraud
- ABA Check Fraud Claim Directory

WHO SHOULD ATTEND?

This informative session is directed to security officers, risk management professionals, internal auditors, compliance officers, and anyone who needs to understand the security function!

ABOUT THE SPEAKERS:



Barry Thompson, CRCM, Thompson Consulting Group, LLC

Barry Thompson is an international speaker, trainer, consultant, and writer. He is a security and compliance "guru" for a leading national training organization and regularly presents security conferences for trade groups – he has trained over 54,000 financial professionals.

Barry is recognized worldwide, presenting in Brussels, Belgium to European bankers on internal fraud; at the United Nations on identity theft; and to Japanese bankers on bank security. Barry has worked in the financial services industry for over four decades, and has held the positions of security officer, compliance officer, treasurer, senior vice president, and executive vice president. He has handled over 900 security cases and has been involved with investigations and prosecutions at the federal, state, and local levels. Barry is the author of 101 Security Tips for the Beginning Security Officer and Inside the Vault and has been interviewed by *Newsweek*, *Computer World*, *USA Today*, and other national publications.



Amber Marshall, Thompson Consulting Group, LLC

Amber Marshall is an experienced banking professional with more than 22 years' experience in bank protection, security, and risk management. She is a regular seminar speaker on numerous security and compliance related topics, such as physical security, financial fraud, elder financial abuse, compliance issues and the banking of cannabis, and evolving security and compliance programs to meet industry growth and innovation. Amber currently works as the VP Risk Management and Compliance Officer at Plumas Bank and is also a part-time consultant with Thompson Consulting Group, LLC.

During her career, Amber has implemented robust bank security programs and expanded compliance programs to address the rapidly changing demands of the financial industry. She has worked with numerous agencies, organizations, and industry consultants to stay active and involved in the latest developments in the areas of security and compliance in the financial industry. In addition, Amber has received several certifications that reflect her expertise, such as a Certified Community Bank Security Officer (CCBSO), Certified Community Bank Compliance Officer (CCBCO) and a Certified BSA/AML Professional (CBAP). She holds a Criminal Justice degree from Feather River College and a B.A. in Business and Organizational Leadership from Colorado State University.

AGENDA

9:00 a.m. **Registration / Coffee & Pastries**

9:30 a.m. **Business Session Begins**

The Four L's of Physical Security

Barry Thompson, CRCM

Is that beautiful, flowering tree blocking sight lines to your entry? How long has that lightbulb been burnt out? This session will review inexpensive and effective changes you can implement immediately to make sure your facility is safe and secure. The session will review exterior security concerns such as landscaping, lighting, location, and locks. We will also review building design flaws and how to correct them and discuss why a night inspection program is essential.

Cash Recyclers, Pod Banking & ITMs

Barry Thompson, CRCM

Banking as we've known it is rapidly changing. Apps, pod banking, cash recyclers, interactive teller machines, and other new technologies are affecting how we do business and offering new challenges to security. This fast-moving presentation embraces these new changes by explaining how we can implement them to make them valuable and profitable to the financial institution, while maintaining effective security protocols. This presentation isn't based upon theory. It's a real-life, real-time program relating to issues that may contribute to an institution's losses.

Noon **Luncheon**

1:00 p.m. **Business Session Continues**

Check Fraud: A New Spin on an Old Scheme *(Virtual / Live Interactive)*

Amber Marshall, CCBSO, CCBCO, CBAP

Check kiting is an old form of check fraud, which involves taking advantage of the float time in order to use non-existent funds, most commonly through a checking account. New kiting trends have emerged using sophisticated ways to draw against unauthorized credits. These new kiting schemes in some instances have resulted in millions of dollars in losses for financial institutions. The program will introduce you to the common typologies associated with these new kiting schemes and discuss ways to combat this new variation of check fraud.

Internal Fraud: The Warning Signs

Barry Thompson, CRCM

This program has it all. Breakout sessions, open discussion, thought provoking details and a deep dive into a high-profile investigative situation. What steps need to be taken? What is important? Learn how to take control of the situation, gather the important evidence, ask the right questions, and properly document your actions.

4:00 p.m. **Adjourn**

MANY THANKS TO OUR SPONSOR 

COST

**South Carolina Bankers
Association members: \$425**

Non-members: \$900

PLEASE REGISTER BEFORE NOVEMBER 1, 2023

Due to necessary commitments and expenses, there will be no refunds of registration fees after noon on November 3, 2023.

SUBSTITUTIONS CAN BE MADE.

If you have questions, please call the SCBA at 803.779.0850

Please register the following person(s) for the **2023 BANK SECURITY RISK MANAGEMENT WORKSHOP** on Wednesday, November 8, 2023 at the Courtyard by Marriott Columbia Downtown at USC, 630 Assembly Street, Columbia, South Carolina 29201.

Registration fees: \$425 for members / \$900 for non-members

Total number of registrants:

PLEASE REGISTER BEFORE NOVEMBER 1, 2023.

Call 803.779.0850 or visit www.scbankers.org.

For additional questions please contact Anne Gillespie at agillespie@scbankers.org.

NAME AND NICKNAME _____

ADDRESS, CITY, STATE, ZIP _____

PHONE & EMAIL ADDRESS _____

BANK OR FIRM _____

NAME AND NICKNAME _____

ADDRESS, CITY, STATE, ZIP _____

PHONE & EMAIL ADDRESS _____

BANK OR FIRM _____

NAME AND NICKNAME _____

ADDRESS, CITY, STATE, ZIP _____

PHONE & EMAIL ADDRESS _____

BANK OR FIRM _____

METHOD OF PAYMENT

CHECK—PLEASE MAKE PAYABLE TO SCBA VISA MASTERCARD DISCOVER AMERICAN EXPRESS

CREDIT CARD # _____ EXP. DATE _____ V-CODE (LAST 3 DIGITS) _____

SIGNATURE _____ DATE _____ BILLING ZIP CODE _____

There will be no refunds of registration fees after noon on November 3, 2023.

NOTE: Images captured at this event may be used to market next year's event, in the SCBA's Palmetto Banker magazine and/or on social media. I consent to the use of images that may contain my likeness for promotional purposes.

HOW TO REGISTER

MAIL TO:

SCBA
P.O. Box 1483
Columbia, SC 29202-1483

FAX TO:

SCBA
803.779.0890

INTERNET:

www.scbankers.org
go to "Education
and Events"



South Carolina Bankers Association

P.O. Box 1483
Columbia, S.C. 29202-1483
Phone: 803.779.0850
Fax: 803.779.0890

***If you have a disability that may affect your participation in this event, please attach a statement regarding those needs. We will be happy to contact you to discuss accommodations.*